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#13

PATENT APPLICATION  
Attorney's Doc. No. 9898-189

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Yoo-Sang HWANG and Su-Jin AHN

Serial No. 09/955,388

Examiner: Anh D. Mai

Confirmation No. 9987

Filed: September 17, 2001 Group Art Unit: 2814

For: SEMICONDUCTOR DEVICE HAVING CONTACT PLUG AND  
METHOD FOR MANUFACTURING THE SAME

**TRANSMITTAL LETTER**

Box DD  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Enclosed for filing in the above-referenced application are the following:

- Return Postcard
- Statement on Filing Information Disclosure Statement (37 CFR 1.97(e))
- (Supplemental) Information Disclosure Statement
  - PTO Form 1449       References cited (1)
- Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.



20575

PATENT TRADEMARK OFFICE

RECEIVED  
MAR 17 2003  
TC 2814 R. L. KUCHE  
MARGER JOHNSON & MCCOLLOM, P.C.

Respectfully submitted,

MARGER JOHNSON & MCCOLLOM, P.C.

Alan T. McCollom  
Reg. No. 28,881

MARGER JOHNSON & MCCOLLOM, P.C.  
1030 SW Morrison Street  
Portland, OR 97205  
(503) 222-3613

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO:  
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ON 3-6-03



ATTORNEY DOCKET NO. 9898-189

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ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97 (b); or  
(Within three months of filing national application; or date of entry of International application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97 (c) together with either a:

Statement under 37 CFR 1.97 (e), or  
 a \$180 fee under 37 CFR 1.17 (p); or

(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action) under 37 CFR 1.97 (d) together with:

Statement under 37 CFR 1.97 (e), and  
 a \$180.00 fee set forth in 37 CFR 1.17 (p).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.



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PATENT TRADEMARK OFFICE

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

Alan T. McCollom  
Reg. No. 28,881

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PTO 2800 MAIL ROOM  
37 CFR 1.17(d)(2)

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 ASSISTANT COMMISSIONER FOR TRADEMARKS, 2900 CRYSTAL DRIVE, ARLINGTON, VA 22202-3513  
ON 3-6-03

**PATENT APPLICATION**

Atty. Docket No.: 9898-189 Serial No. 09/955,388  
Applicant: Yoo-Sang HWANG and Su-Jin AHN Confirmation No. 5352  
Filing Date: September 17, 2001 Group: 2814

**INFORMATION DISCLOSURE CITATION  
FORM PTO-1449 (Modified)****U.S. PATENT DOCUMENTS**

<u>Exam</u> <u>Init</u>	<u>Ref</u>	<u>Document</u> <u>Number</u>	<u>Issue</u> <u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub-</u> <u>Class</u>
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**FOREIGN PATENT DOCUMENTS**

	<u>Document</u> <u>Number</u>	<u>Publication</u> <u>Date</u>	<u>Country</u>	<u>Name</u>
— —	JP5090207	4-9-1993	Japan	Tomonori

**OTHER DOCUMENTS**

<u>Exam</u> <u>Init</u>	<u>Ref</u>	<u>(Including Author, Title, Date, Pertinent Pages, etc.)</u>
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— — English translation of Abstract for Japanese Patent No. JP5090207

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_

100-2001-0001 MAR 17 2003

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BOX DD  
Commissioner for Patents  
Washington, D.C. 20231

STATEMENT ON FILING INFORMATION DISCLOSURE STATEMENT  
(37 CFR 1.97(e))

I, Alan T. McCollom, the person signing below state that:

each item of information contained in the Information Disclosure Statement submitted herewith was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement

Applicant respectfully requests consideration of the accompanying Information Disclosure Statement.

The person making this Statement is the attorney who signs below on the basis of the information in the attorney's file.



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PATENT TRADEMARK OFFICE

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

Alan T. McCollom  
Reg. No. 28,881

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MAY 17 2003  
U.S. PATENT AND TRADEMARK OFFICE  
KJL

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